



06-05-03

2176

Please type or print plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/008,472
		Filing Date	November 9, 2001
		Confirmation Number	5648
		First Named Inventor	HOHL, DAVID
		Group Art Unit	2176
		Examiner Name	To Be Assigned
Total Number of Pages in This Submission	15	Attorney Docket Number	LIFE-052

RECEIVED

JUN 09 2003

Technology Center 2100

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> In Duplicate <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Petition for Correction of Inventorship (1 pg.) 2) Consent of Assignee to Change Inventorship (1 pg.) 3) Statement by Current Named Inventors (5 pgs.) 4) Substitute Declaration (5 pgs.) 5) Return Postcard
Remarks		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent (Reg. No.)	CAROL M. LASALLE, 39,740 BOZICEVIC, FIELD & FRANCIS LLP
Signature	
Date	June 3, 2003

EXPRESS MAIL LABEL NO. FV333999659US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

Complete if Known

Application Number	10/008,472
Filing Date	November 9, 2001
Confirmation Number	5648
First Named Inventor	HOHL, DAVID
Examiner Name	To Be Assigned

RECEIVED

JUN 09 2003

Technology Center 2100

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None Deposit Account:

Deposit Account Number 50-0815

Deposit Account Name Bozicevic, Field & Francis LLP

The Commissioner authorized to: (check all that apply)

Charge fees indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fees indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	750	2001 375 Utility filing fee	
1002	330	2002 165 Design filing fee	
1003	520	2003 260 Plant filing fee	
1004	750	2004 375 Reissue filing fee	
1005	160	2005 80 Provisional filing fee	
SUBTOTAL (1)		0.00	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Fee from Extra Claims below				Fee Paid
Total Claims	-20** =	x	=	
Indep. Claims	-3** =	x	=	
Multiple Dependent			=	

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202	18	2202	9 Claims in excess of 20
1201	84	2201	42 Independent claims in excess of 3
1203	280	2203	140 Multiple dependent claim, if not paid
1204	84	2204	42 ** Reissue independent claims over original patent
1205	18	2205	9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$ 0.00			

**or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge – late filing fee or oath	
1052	50	2052	25 Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for ex parte reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840*Requesting publication of SIR after Examiner action	
1251	110	2251	55 Extension for reply within first month	
1252	410	2252	205 Extension for reply within second month	
1253	930	2253	465 Extension for reply within third month	
1254	1,450	2254	725 Extension for reply within fourth month	
1255	1,970	2255	985 Extension for reply within fifth month	
1401	320	2401	160 Notice of Appeal	
1402	320	2402	160 Filing a brief in support of an appeal	
1403	280	2403	140 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	110	2452	55 Petition to revive – unavoidable	
1453	1,300	2453	650 Petition to revive – unintentional	
1501	1,300	2501	650 Utility issue fee (or reissue)	
1502	470	2502	235 Design issue fee	
1503	630	2503	315 Plant issue fee	
1406	130	1460	130 Petitions to the Commissioner	130.0
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180 Submission of Information Disclosure Stmt	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	750	2809	375 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	
Other fee (specify) _____				

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 130.00)**

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Carol M. LaSalle	Registration No. (Attorney/Agent)	39,740	Telephone	(650) 327-3400
Signature				Date	06/03/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.



JUN 03 2003

EXPRESS MAIL LABEL NO. EV333999659 US

#4
6-20-03
NP

PETITION FOR CORRECTION OF INVENTORSHIP IN PATENT APPLICATION PURSUANT TO 35 U.S.C. § 116 UNDER 37 C.F.R. §1.48 Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.	Attorney Docket	LIFE-052
	First Named Inventor	Hohl
	Application Number	10/008,472
	Confirmation No.	5648
	Filing Date	November 9, 2001
	Group Art Unit	2176
	Examiner Name	To Be Assigned
	Title	ALPHANUMERIC KEYPAD AND DISPLAY SYSTEM AND METHOD

RECEIVED

JUN 09 2003

Technology Center

2100

Dear Sir:

Applicant hereby petitions to correct the inventorship in the above-referenced patent application to delete Kirk Harmon, Nonato Bautista, and Stanley Telson as inventors of the claimed invention.

As required by 37 C.F.R. 1.48(a), this petition is accompanied by:

- 1) A statement from the inventors (David Hohl, Imre Trefil, Kirk Harmon, Anthony Yung, Frances Killam, Nonato Bautista, and Stanly Telson) that the error in inventorship occurred without deceptive intention on his or her part under 37 C.F.R. §1.48(a);
- 2) A substitute Declaration executed by each of the actual inventors as required under 37C.F.R. §1.63 and 37 C.F.R. §1.48 (a)(2)
- 3) A statement from the assignee, LifeScan, Inc. to whom rights were assigned agreeing to the change of inventorship in the patent application, which statement complies with the requirements of 37 C.F.R. §3.73(b) and 37 C.F.R. §1.48(a); and
- 4) The fee of \$130.00 set forth in 37 C.F.R. §1.17(i).

The Commissioner is authorized to charge fees associated with the filing of this petition, and any additional fees which may be required by this paper, or to credit any overpayment to our Deposit Account No. 50-0815, reference no. LIFE-052.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: 6/3/03

By: Carol M. LaSalle
Carol M. LaSalle
Registration No. 39,740

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231

LFS-153

F:\DOCUMENT\life\052\Petition to Correct Inventorship in Patent.doc

06/06/2003 YPOLITE1 00000074 500815 10008472

01 FC:1460 130.00 CH



EX-13 MAIL NUMBER
EV 33399659 US

U.S. Patent Application No. 10/008,472
Attorney Docket No. LIFE-052/LFS-01-027

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date.			
Typed or Printed Name			
Signature		Date	RECEIVED
STATEMENT BY CURRENT NAMED INVENTORS UNDER 37 C.F.R. § 1.48(a) REGARDING CHANGE TO INVENTORSHIP IN PATENT		Attorney Docket	LIFE052 JUN 09 2003
Address to: Commissioner for Patents Washington, D.C. 20231		First Named Inventor	Hohl Technology Center 2100
		Application Number	10/008,472
		Confirmation No.	5648
		Filing Date	November 9, 2001
		Group Art Unit	2176
		Examiner Name	To Be Assigned
		Title	ALPHANUMERIC KEYPAD AND DISPLAY SYSTEM AND METHOD

Sir:

1. We are the current named inventors of the claims of the above-referenced patent, which currently names inventors are David Hohl, Imre Trefil, Kirk Harmon, Anthony Yung, Frances Killam, Nonato Bautista, and Stanly Telson as inventors.
2. We understand that the inventorship of the above-referenced patent is to be changed to delete Kirk Harmon, Nonato Bautista, and Stanley Telson as the inventors, and agree to such change in inventorship.
3. The inventorship error occurred without any deceptive intent on our part or on the part of the assignee.
4. We declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 03/21/03

David Hohl
David Hohl

Date: 03/21/03

Imre Trefil
Imre Trefil

Date: 3/21/03

Kirk Harmon
Kirk Harmon



U.S. Patent Application No. 10/008,472
Attorney Docket No. LIFE-052/LFS-01-027

Date: 3-25-03

Anthony Yung
Anthony Yung

RECEIVED

Date: _____

Frances Killam

JUN 09 2003

Date: _____

Nonato Bautista

Technology Center 2100

Date: _____

Stanley Telson



U.S. Patent Application No. 10/008,472
Attorney Docket No. LIFE-052/LFS-01-027

Date: _____

Date: 3-24-03

Date: _____

Date: _____

Anthony Yung

A handwritten signature of Anthony Yung.

Frances Killam

Nonato Bautista

Stanley Telson

RECEIVED

JUN 09 2003

Technology Center 2100



U.S. Patent Application No. 10/008,472
Attorney Docket No. LIFE-052/LFS-01-027

Date: _____

Anthony Yung

Date: _____

Frances Killam

Date: 3/28/03

N. Bautista
Nonato Bautista

Date: _____

Stanley Telson

RECEIVED

JUN 09 2003

Technology Center 2100



U.S. Patent Application No. 10/008,472
Attorney Docket No. LIFE-052/LFS-01-027

Date: _____

Anthony Yung

Date: _____

Frances Killam

Date: _____

Nonato Bautista

Date: 3.27.03

Stanley Telson

RECEIVED

JUN 09 2003

Technology Center 2100



DOCKET NO. LIFE-052/LIFE LFS-153

SUBSTITUTE DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

RECEIVED

As a below named inventor, I hereby declare that:

JUN 09 2003

My residence, post office address and citizenship are as stated below next to my name, **Technology Center 2100**

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ALPHANUMERIC KEYPAD AND DISPLAY SYSTEM AND METHOD, the specification of which was filed on November 9, 2001; U.S. Patent Application Serial No. 10/008,472.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO



I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

(Application Number)

(Filing Date)

RECEIVED

JUN 09 2003

(Application Number)

(Filing Date) Technology Center 2100

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.

Filing Date

Status

Application Serial No.

Filing Date

Status

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith as well as to file equivalent patent applications in countries foreign to the United States including the filing of international patent applications in accordance with the Patent Cooperation Treaty: Paul Colletti (Reg. # 32,019) and Mark Warfield (Reg. # 33,463), One Johnson & Johnson Plaza, New Brunswick, NJ 08933; and Bernard Shay (Reg. # 32,061) LifeScan, Inc., 1000 Gibraltar Drive, Milpitas, CA 95035, as well as Bret Field (Reg. # 37,620), Carol LaSalle (Reg. # 39,740), Frank Becking (Reg. # 42,309), and Susan Tall (Reg. No. 52,272) 200 Middlefield Road, Suite 200, Menlo Park, CA 94025.

Address all telephone calls to Carol LaSalle at telephone no. (650) 327-3400.

Address all correspondence to Carol LaSalle, Bozicevic, Field & Francis LLP, 200 Middlefield Road, Suite 200, Menlo Park, CA 94025



RECEIVED

JUN 09 2003

Technology Center 2100

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature:
Full Name of Sole
or First Inventor

David Hohl *David Hohl*

Date: 03/21/03

Citizenship: USA
Residence: 2114 Bliss Ave, ~~San Jose~~, CA 95035

Milpitas, DKH 03/21/03

Post Office Address: Same as above

Inventor's Signature:
Full Name of Second Joint
Inventor, If Any

Imre Trefil *Imre Trefil*

Date: 03/21/2003

Citizenship: USA
Residence: 3350 Roma Place, San Ramon, CA 94583

Post Office Address: Same as above

Inventor's Signature:
Full Name of Third Joint
Inventor, If Any

Anthony Yung

Date: _____

Citizenship: USA
Residence: 13731 Beaumont Ave., Saratoga, CA 95070

Post Office Address: Same as above



RECEIVED

JUN 09 2003

Technology Center 2100

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature: David Hohl

Full Name of Sole
or First Inventor

Date:

Citizenship: USA

Residence: 2114 Bliss Ave, San Jose, CA 95035

Post Office Address: Same as above

Inventor's Signature: _____ Imre Trefil

Full Name of Second Joint Inventor, If Any

Date: _____

Citizenship: USA
Residence: 3350 Roma Place, San Ramon, CA 94583

Post Office Address: Same as above

Bethany Gray

Inventor's Signature:
Full Name of Third Joint
Inventor, If Any

Date: 3-25-03

Citizenship: USA
Residence: 13731 Beaumont Ave., Saratoga, CA 95070

Post Office Address: Same as above



Inventor's Signature:

Full Name of Fourth Joint
Inventor, If Any

Citizenship: USA

Residence: 18 Courtland Drive, Chelmsford, MA 0824

Post Office Address: Same as above

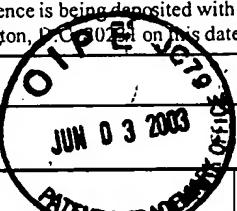
Frances Killam
Frances Killam

Date: 3 - 24 - 03

RECEIVED

JUN 09 2003

Technology Center 2100

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date.			
Typed or Printed Name			
Signature		Date	
CONSENT OF ASSIGNEE CHANGE OF INVENTORSHIP UNDER 37 C.F.R. § 1.324(b)(3) AND STATEMENT UNDER 37 C.F.R. § 3.73(b) Address to: Commissioner for Patents Washington, D.C. 20231		Attorney Docket LIFE-052 First Named Inventor Hohl Application Number 10/008,472 JUN 09 2003 Confirmation No. 5648 Filing Date November 9, 2001 Technology Center 2100 Group Art Unit 2176 Examiner Name To Be Assigned Title ALPHANUMERIC KEYPAD AND DISPLAY SYSTEM AND METHOD	

Sir:

1. LifeScan, Inc. is the assignee of the entire right, title, and interest of David Hohl, Imre Trefil, Kirk Harmon, Anthony Yung, Frances Killam, Nonato Bautista, and Stanly Telson in the above-referenced patent application by virtue of an assignment from the original inventors to LifeScan, Inc. (recorded May 14, 2002, reel/frame 012901/0001).
2. LifeScan, Inc. hereby agrees to the change of inventorship in the above-referenced Patent to delete Kirk Harmon, Nonato Bautista, and Stanley Telson as the inventors of the claims.

I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee with which my name is associated.

Date: 4/1/03



 Bernard Shay
 Vice President, Patent Law
 LifeScan, Inc.

BOZICEVIC, FIELD & FRANCIS LLP
 200 Middlefield Road, Suite 200
 Menlo Park, CA 94025
 Telephone: (650) 327-3400
 Facsimile: (650) 327-3231

DATE: June 3, 2003

EV333999659US

THE EXPRESS MAIL NUMBER OF THE DAY IS: EV333999659US

The person taking the Express Mail to the Post Office is: Margaret Pierce

EXPRESS MAIL CERTIFICATION

Date of Deposit: 6/3/2003 I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature M. Pierce

Date 6/3/03

Atty. Docket No.	Serial Number	Description	Atty.	Fee
LIFE-052	10/008,472	Transmittal, Fee Transmittal <i>in duplicate</i> , Petition to Correct Inventorship, Statement by Current Inventors Regarding Change in Inventorship, Substitute Declaration, Consent of Assignee to Change Inventorship	CML	\$130
SPEN-003	10/331,171	Transmittal, Fee Transmittal <i>in duplicate</i> , Copy of Notice to File Missing Parts, Executed Declaration, IDS, SB08A, (6) Cited References	BEF	\$440
SHIM-009CON	10/245,861	Amendment	JSK	
STAN-158	09/670,412	Transmittal, Fee Transmittal <i>in duplicate</i> , Amendment	BEF	\$18



RECEIVED

JUN 09 2003

Technology Center 2100